

BUSINESS CONTINUITY PLAN DISCLOSURE  
RKCA  
Business Continuity Planning

RKCA has developed a Business Continuity Plan on how it will respond to events that significantly disrupt its business, including that of its registered representatives at WCF Advisors, LLC. Since the timing and impact of disasters and disruption is unpredictable, RKCA will be flexible in responding to actual events as they occur. With that in mind, RKCA is providing you with this information on the business continuity plan.

Contacting RKCA – If after a significant business disruption you cannot contact RCKA as you usually do you should call the alternative number, 513-659-0355, or go to the website at [www.rkca.com](http://www.rkca.com).

Business Continuity Plan – RKCA plans to quickly recover and resume business operations after a significant business disruption and respond by safeguarding employees and property, making a financial and operational assessment, protecting the firm’s books and records, and allowing clients to transact business. In short, the business continuity plan is designed to permit the firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

The business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, bank and counter-party impact; regulatory reporting.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only the firm, a single building housing the firm, the business district where the firm is located, the city where located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only the firm or a building housing the firm, operations will be transferred to a local site when needed and expect to recover and resume business within one day. In a disruption affecting the business district, city, or region, RKCA operations will be transferred to a site outside of the affected area, and recover and resume business within two days. In either situation, the plan is to continue in business, transfer operations to another site, and notify through the website [www.rkca.com](http://www.rkca.com) or the customer emergency number, 513-659-0355, how to contact RKCA.

For more information – If you have questions about the business continuity plan, you can contact RKCA at 513-659-0355.